

James M. Miller

Business Administration Program
University of Washington, Bothell
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EDUCATION:

- Ph.D. Krannert Graduate School of Business, Purdue University, West Lafayette, IN
Major Field: Finance
Minor Field: Econometrics
Degree Date: December 1992
- M.B.A. The Pennsylvania State University, University Park, PA
Major: Finance
Degree Date: May 1987
- B.ChE. Villanova University, Villanova, PA
Major: Chemical Engineering
Degree Date: May 1981

ACADEMIC WORK EXPERIENCE:

Associate Professor of Finance, Business Administration Program, The University of Washington, Bothell Campus, Bothell, Washington; September 2000 - Present.

Assistant Professor of Finance, Business Administration Program, The University of Washington, Bothell Campus, Bothell, Washington; August 1998- September 2000.

Assistant Professor of Finance, College of Business and Public Affairs, Clemson University, Clemson, SC; August 1992-August 1998.

Lecturer in Finance, Purdue University, West Lafayette, IN; Spring 1990.

Research Assistant, Purdue University, West Lafayette, IN; August 1988-January 1990.

Lecturer in Finance, Pennsylvania State University, University Park, PA; Spring 1987.

Research Assistant, Pennsylvania State University, University Park, PA; Fall 1986.

OTHER WORK EXPERIENCE:

Financial Analyst, Ford New Holland, Inc., New Holland, PA; August 1987-August 1988.

Process Design Engineer, C.E. Lummus, Inc., Bloomfield, NJ 07003; July 1981-September 1982.

PUBLICATIONS:

“Power Law and Evolutionary Trends in Stock Markets.”

Co-Authors: P.V. (Sundar) Balakrishnan and S. Gowri Shanker, University of Washington, Bothell.
Economics Letters, Forthcoming.

“Market Reaction to Changes in the S&P SmallCap 600 Index.”

Co-Author: S. Gowri Shankar, University of Washington, Bothell.
The Financial Review, August 2006.

“The Effects of Open-Market Stock Repurchases by Insurance Companies.”

Co-Author: S. Gowri Shankar, University of Washington, Bothell.
The Journal of Insurance Issues, Fall 2005.

“Retirement Plan Choices and Outcomes.”

Co-Author: S. Gowri Shankar, University of Washington, Bothell.
Business Horizons, July/August 2003.

"Signaling or Takeover Deterrence: Empirical Evidence on the Motivation for Dutch Auction versus Fixed-Price Tender Offers."

Co-author: Gayle R. Erwin, University of Virginia.
The Journal of Applied Business Research, 2001.

“The Intra-Industry Effects of Open market Repurchases: Contagion or Competitive?”

Co-author: Gayle R. Erwin, University of Virginia.
The Journal of Financial Research, Winter 1999.

"The Long-Term Effect of Anti-takeover Legislation On Stock Returns: Evidence from Pennsylvania Senate Bill 1310."

Co-authors: Gayle R. Erwin, University of Virginia and Tina Galloway, University of Miami.
Quarterly Journal of Business and Economics, Summer 1998.

"The Liquidity Effects Associated with Addition of a Stock to the S&P 500 Index: Evidence from Bid/Ask Spreads."

Co-author: Gayle R. Erwin, University of Virginia.
The Financial Review, February 1998.

"Index Futures Trading and Stock Return Volatility: Evidence from the Introduction of MidCap 400 Index Futures."

Co-Author: Tina Galloway, University of Miami.
The Financial Review, November 1997.

"Open-Market Share Repurchase Programs and Bid/Ask Spreads on the NYSE: Implications for Corporate Payout Policy."

Co-author: John J. McConnell, Purdue University.
Journal of Financial and Quantitative Analysis, September 1995.

WORKS IN PROGRESS:

Earnings Changes Subsequent to S&P 500 Addition.

Co-Author: S. Gowri Shankar, University of Washington, Bothell.

Power Law and Day of the Week Effects in Stock Market Trading Concentration.

Co-Authors: P.V. (Sundar) Balakrishnan and S. Gowri Shanker.

Price Effects of Multiple Open-market Repurchase Programs.

Single Authored

PAPERS ACCEPTED FOR CONFERENCE PRESENTATION:

“Market Reaction to Changes in the S&P SmallCap 600 Index.”
Financial Management Association, October 2005.

“The Effects of Open-Market stock Repurchases by Insurance Companies.”
Financial Management Association, October 2004.

“Retirement Plan Choices and Outcomes.”
Academy of Financial Services Meeting, October 2003.

"The Long-Term Effect of Anti-takeover Legislation On Stock Returns: Evidence from Pennsylvania Senate Bill 1310." Southern Finance Association, November 1996.

"Signaling or Takeover Deterrence: Empirical Evidence on the Motivation for Dutch Auction versus Fixed-Price Tender Offers." Financial Management Association, October 1996.

"Index Futures Trading and Stock Return Volatility: Evidence From the Introduction of MidCap 400 Index Futures." Financial Management Association, October 1995, Southern Finance Association, November 1995.

"The Liquidity Effects Associated with Addition of a Stock to the S&P 500 Index: Evidence from Bid/Ask Spreads." Financial Management Association, October 1995.

"The Effect of Index Arbitrage Trading on the Liquidity of Stocks Added to the S & P 500 Index." Southern Finance Association, November 1994.

RESEARCH INTERESTS:

Corporate Finance, Capital Structure, Market Microstructure, Share Repurchases.

COURSES TAUGHT:

Undergraduate: Corporate Finance, Financial Markets and Institutions, Bank Management, Investments, Options and Futures.

Graduate: Corporate Finance, Financial Markets and Institutions.

OTHER PROFESSIONAL ACTIVITIES:

Ad Hoc Referee for Journal of Empirical Finance, Journal of Multinational Financial Management, Review of Financial Economics, Managerial Finance.

Discussant, 2000 Financial Management Association Meeting.

Program Committee, 1998 Southern Finance Association Conference.

Discussant, 1997 Financial Management Association Meeting.

Program Committee, 1997 Eastern Finance Association Conference.