

## S. GOWRI SHANKAR

### Brief CV

Associate Professor- Finance  
Business Administration Program  
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### Education:

- Ph. D. (Finance), Syracuse University, Syracuse, NY.
- PGDM (equivalent to MBA), with concentrations in Accounting and Finance, Indian Institute of Management, Ahmedabad, India.
- Master of Commerce (with concentrations in Cost & Management Accounting), Bangalore University, Bangalore, India.
- Bachelor of Commerce, Bangalore University, Bangalore, India.

### Professional Certification:

- Chartered Financial Analyst (CFA) charter-holder from the CFA Institute, Charlottesville, VA.
- Certified Management Accountant (CMA). Awarded Distinguished Certificate of Performance by the Institute of Certified Management Accountants (ICMA) for ranking in the top ten candidates worldwide.
- Certified in Financial Management (CFM). Awarded Distinguished Certificate of Performance by the ICMA for ranking in the top ten candidates worldwide.

### Research Interests:

Market anomalies, event studies, market indexes, evolution of markets, Performance of index funds & ETF's, retirement plans, financial planning, Insurance industry.

### Teaching Interests:

Corporate Finance, Investments & Portfolio Management, Financial Markets & Institutions, Managerial Economics, Financial & Managerial Accounting, Risk Management & Insurance.

### Publications:

S. Gowri Shankar, 2009. "A new strategy to guarantee retirement income using TIPS and Longevity Insurance". *Financial Services Review* 18:53-68.

P.V. (Sundar) Balakrishnan, James M. Miller and S. Gowri Shankar, 2008. "Power Law and Evolutionary Trends in Stock Markets". *Economics Letters* 98: 194-200.

S. Gowri Shankar, 2007. "Active versus passive index management: A performance comparison of the S&P and Russell indexes". *Journal of Investing* 16, no.2: 85-95.

S. Gowri Shankar and James M. Miller, 2006. "Market Reaction to Changes in the S&P SmallCap 600 Index." *The Financial Review* 41, no. 3: 339-360.

James M. Miller and S. Gowri Shankar, 2005. "The Effects of Open Market Stock Repurchases by Insurance Companies." *Journal of Insurance Issues* 28, no.2:167-82.

S. Gowri Shankar and James M. Miller, 2003. "Retirement Plan Choices and Outcomes." *Business Horizons* 46, no.4: 53-60.

S. Gowri Shankar and A. Ngome Ntoko, 2001. "A Note on the Treatment of Stock Valuation in Corporate Finance Textbooks." *Journal of Accounting and Finance Research* 9, no.2: 89-93.

S. Gowri Shankar. "Capturing the Ex-Dividend Day Returns of High-Yield Stocks." *Proceedings of the Midwest Decision Sciences Institute Annual Meetings*.

### Prior Academic experience:

School of Business, State University of New York (SUNY), Oswego, NY.  
Associate Professor.

Statler School of Hotel Administration, Cornell University, Ithaca, NY.  
Adjunct Associate Professor

School of Management, Syracuse University, Syracuse, NY.  
Instructor & Teaching Assistant

Bangalore University PG Department of Management, Bangalore, India.  
Visiting Lecturer.

### Awards at UWB:

University of Washington Distinguished Teacher, 2008

Worthington Scholar, UW, Bothell, 2005

MBA Program Graduation Speaker (Professor of the Year), 2001-02 and 2002-03.

### Professional Service:

Manuscript Reviewer for the *Financial Review; Journal of Economics & Business*  
Member, Candidate Curriculum Committee Working Body, CFA Institute, 2002-05.  
Grader, CFA examinations, CFA Institute, Charlottesville, VA, 2001, '02, '03, '08.